

FORM PTO-1449

U.S. Dept. of Commerce
Patent and Trademark Office

Atty Docket No.

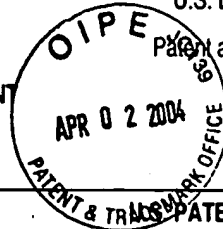
P1979R1

Serial No.

10/719,310

LIST OF DISCLOSURES CITED BY APPLICANT

(Use several sheets if necessary)



Applicant

Paul G. Brunetta et al.

Filing Date

21 Nov 2003

Group

1644
~~Not Yet Assigned~~

PATENT DOCUMENTS

Examiner Initials	Document Number	Date	Name	Class	Subclass	Filing Date
Palk	1	2002/0076695	20.06.02	Ross, J.		
	2	2002/0155527	24.10.02	Stuart et al.		
	3	2003/0103973	05.06.03	Rockwell et al.		
	4	2003/0108545	12.06.03	Rockwell et al.		
	5	2003/0211530	13.11.03	Danenberg, K.		
	6	4,753,894	28.06.88	Frankel et al.		
	7	4,935,341	19.06.90	Bargmann et al.		
	8	4,943,533	24.07.90	Mendelsohn et al.		
	9	4,968,603	06.11.90	Slamon et al.		
	10	4,975,278	04.12.90	Senter et al.		
	11	5,169,774	08.12.92	Frankel et al.		
	12	5,183,884	02.02.93	Kraus et al.		
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	14	5,359,046	25.10.94	Capon et al.		
	15	5,367,060	22.11.94	Vandlen et al.		
	16	5,401,638	28.03.95	Carney et al.		
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	19	5,514,554	07.05.96	Bacus, S.		
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	21	5,578,482	26.11.96	Lippman et al.		
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Date Considered

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		Filing Date 21 Nov 2003	Group <u>1644</u> Not Yet Assigned

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	41	5,801,005	01.09.98	Cheever et al.			
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	43	5,821,337	13.10.98	Carter et al.			
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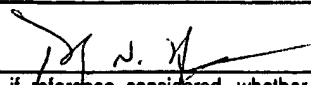
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	75	6,333,348	25.12.01	Vogel et al.		
	76	6,358,682	19.03.02	Jaffee et al.		
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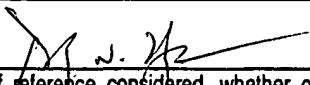
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	84	0 412 116	29.11.95	EPO			
	85	0 444 181	31.10.01	EPO			
	86	0 494 135	10.04.96	EPO			
	87	0 502 812	14.08.96	EPO			
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	94	2,761,543B2	04.06.98	JAPAN (TRANSLATION ATTACHED)			
	95	2,895,105B2	24.05.99	JAPAN (ENGLISH ABSTRACT AND CLAIMS)			
	96	3-240498	25.10.91	JAPAN (ENGLISH ABSTRACT ATTACHED)			
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	98	5-170667	09.07.93	JAPAN (ENGLISH ABSTRACT ATTACHED)			
	99	5-213775	24.08.93	JAPAN (ENGLISH ABSTRACT ATTACHED)			
	100	5-317084	03.12.93	JAPAN (ENGLISH ABSTRACT ATTACHED)			
	101	7-59588	07.03.95	JAPAN (ENGLISH ABSTRACT ATTACHED)			
	102	95,006,982B2	30.01.95	JAPAN (ENGLISH ABSTRACT AND CLAIMS)			
103	WO 00/61145	19.10.00	PCT				
104	WO 00/61185	19.10.00	PCT				
105	WO 00/69460	23.11.00	PCT				

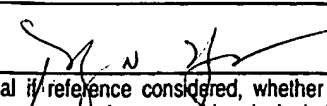
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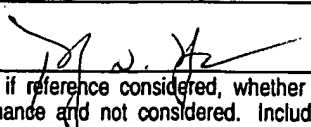
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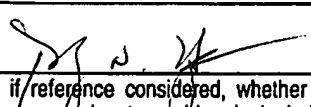
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Examiner Initials	Document Number	Date	Country	Class	Subclass	Translation Yes No	
<i>P.H.K.</i> 	106	WO 00/78347	28.12.00	PCT			
	107	WO 01/00238 A1	04.01.01	PCT			
	108	WO 01/00244 A2	04.01.01	PCT			
	109	WO 01/05425	25.01.01	PCT			
	110	WO 01/09187	08.02.01	PCT			
	111	WO 01/15730	08.03.01	PCT			
	112	WO 01/20033	22.03.01	PCT			
	113	WO 01/21192	29.03.01	PCT			
	114	WO 01/32155	10.05.01	PCT			
	115	WO 87/07646	17.12.87	PCT			
	116	WO 89/06692	27.07.89	PCT			
	117	WO 89/10412	02.11.89	PCT			
	118	WO 90/14357	29.11.90	PCT			
	119	WO 91/02062	21.02.91	PCT			
	120	WO 91/05264	18.04.91	PCT			
	121	WO 92/10573	25.06.92	PCT			
	122	WO 92/20798	26.11.92	PCT			
	123	WO 93/03741	04.03.93	PCT			
	124	WO 93/12220	24.06.93	PCT			
	125	WO 93/16185	19.08.93	PCT			
	126	WO 93/21232	28.10.93	PCT			
127	WO 93/21319	28.10.93	PCT				
128	WO 94/00136	06.01.94	PCT				
129	WO 94/22478	13.10.94	PCT				
130	WO 94/28127	08.12.94	PCT				
131	WO 95/16051	15.06.95	PCT				
132	WO 95/17507	29.06.95	PCT				
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135	WO 96/16673	06.06.96	PCT				
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137	WO 96/40789	19.12.96	PCT				
138	WO 97/00271	03.01.97	PCT				
139	WO 97/04801	13.02.97	PCT				
140	WO 97/20858	12.06.97	PCT				
141	WO 97/27848	07.08.97	PCT				
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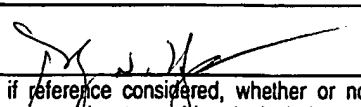
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				Filing Date 21 Nov 2003	Group 1644 Not Yet Assigned		
FOREIGN PATENT DOCUMENTS							
Examiner Initials	Document Number	Date	Country	Class	Subclass	Translation Yes	Translation No
PJK ↓ ✓	142	WO 97/35885	02.10.97	PCT			
	143	WO 97/38731	23.10.97	PCT			
	144	WO 98/02463	22.01.98	PCT			
	145	WO 98/02540	22.01.98	PCT			
	146	WO 98/02541	22.01.98	PCT			
	147	WO 98/16628	23.04.98	PCT			
	148	WO 98/17797	30.04.98	PCT			
	149	WO 98/18489	07.05.98	PCT			
	150	WO 98/33914	06.08.98	PCT			
	151	WO 98/45479	15.10.98	PCT			
	152	WO 99/31140	24.06.99	PCT			
	153	WO 99/39729	12.08.99	PCT			
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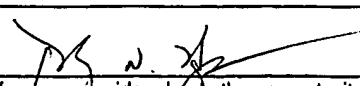
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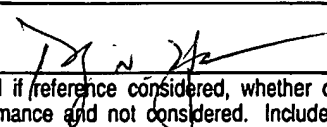
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OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, etc.)					
Pkt	307	Werther et al., "Humanization of an Anti-Lymphocyte Function-Associated Antigen (LFA)-1 Monoclonal Antibody and Reengineering of the Humanized Antibody for Binding to Rhesus LFA-1" <u>J. of Immunology</u> 157:4986-4995 (1996)			
	308	Williams et al., "Expression of c-erbB-2 in Human Pancreatic Adenocarcinomas" <u>Pathobiology</u> 59(1):46-52 (1991)			
	309	Wofsy et al., "Modification and Use of Antibodies to Label Cell Surface Antigens" <u>Selected Methods in Cellular Immunology</u> , Mishel and Schiigi, eds., San Francisco:WJ Freeman Co., Chapter 13, pps. 287-304 (1980)			
	310	Worthylake et al., "Structural Aspects of the Epidermal Growth Factor Receptor Required for Transmodulation of erbB-2/neu" <u>Journal of Biological Chemistry</u> 272(13):8594-8601 (Mar 28, 1997)			
	311	Wright et al., "An Incomplete Program of Cellular Tyrosine Phosphorylations Induced by Kinase-defective Epidermal Growth Factor Receptors" <u>Journal of Biological Chemistry</u> 270(20):12085-12093 (May 19, 1995)			
	312	Wu et al., "Apoptosis Induced By an Anti-Epidermal Growth Factor Receptor Monoclonal Antibody in a Human Colorectal Carcinoma Cell Line and Its Delay By Insulin" <u>Journal of Clinical Investigation</u> 95(4):1897-1905 (Apr 1995)			
	313	Xu et al., "Antibody-Induced Growth Inhibition is Mediated Through Immunochemically and Functionally Distinct Epitopes on the Extracellular Domain of the c-erbB-2 (HER-2/neu) Gene Product p185" <u>International Journal of Cancer</u> 53(3):401-408 (Feb 1, 1993)			
	314	Yeh et al., "From HER2/Neu signal cascade to androgen receptor and its coactivators: A novel pathway by induction of androgen target genes through MAP kinase in prostate cancer cells" <u>Proc. Natl. Acad. Sci. USA</u> 96:5458-5463 (May 1999)			
	315	Yokota et al., "Amplification of c-erbB-2 Oncogene in Human Adenocarcinomas in Vivo" <u>Lancet</u> 1(8484):765-767 (Apr 5, 1986)			
	316	Yonemura et al., "Evaluation of Immunoreactivity for erbB-2 Protein as a Marker of Poor Short Term Prognosis in Gastric Cancer" <u>Cancer Research</u> 51(3):1034-1038 (Feb 1, 1991)			
	317	Zhang et al., "Neuregulin-3 (NRG3): A novel neural tissue-enriched protein that binds and activates ErbB4" <u>Proc. Natl. Acad. Sci. USA</u> 94:9562-9567 (Sep 22, 1997)			
	318	Zhang et al., "Shared antigenic epitopes and pathobiological functions of anti-p185 ^{HER2/neu} monoclonal antibodies" <u>Experimental and Molecular Pathology</u> 67:15-25 (1999)			
✓	319	Zhau et al., "Amplification and Expression of the c-erb B-2/neu Proto-Oncogene in Human Bladder Cancer" <u>Molecular Carcinogenesis</u> 3(5):254-257 (1990)			
Examiner		Date Considered <u>10/19/05</u>			
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					